

Implementation of Duty to Inform regarding Ombudsman's Office in two steps:

1. Insert a tab "Regulatory Information" (or similar wording) on the website (clearly visible)
2. Under "Regulatory Information" insert a text block as shown below (or in similar wording)

Important: At the latest after the end of the two-year transition period (until 31.12.2021), publish a FIDLEG factsheet under the register "Regulatory Information" on the website (the factsheet contains all necessary information requirements, SwissComply provides a template)

Suggested text block:

Supervisory Authority of the "VT Wealth Management AG"

For our activity as managers of collective assets in accordance with the Swiss Federal Act on Financial Institutions, we are licensed and supervised by the Swiss Financial Market Supervisory Authority FINMA.

*Swiss Financial Market Supervisory Authority FINMA
Laupenstrasse 27
CH-3003 Bern
Phone: +41 31 327 91 00
E-mail: info@finma.ch
Website: www.finma.ch*

Ombudsman's Office

«VT Wealth Management AG» is affiliated with the independent ombudsman institution FINOS, which is recognized by the Federal Department of Finance. In the event of any disputes about legal claims between our clients and «Name EAM», our clients can contact this ombudsman's office at any time. Information on any administrative costs in connection with mediation procedures can be found on the website of the ombudsman's office.

*Name Ombudsman's Office: Finanzombudsstelle Schweiz (FINOS)
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Email: info@finos.ch
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